

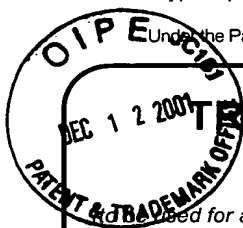
Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## TRANSMITTAL FORM

Used for all correspondence after initial filing)

<b>Application Number</b>	09/348,529		
	<b>Filing Date</b>	07/07/1999	
	<b>First Named Inventor</b>	Alan Slatear	
	<b>Group Art Unit</b>	2164	
	<b>Examiner Name</b>	Felten, D.	
<b>Total Number of Pages in This Submission</b>	34	<b>Attorney Docket Number</b>	CITI0109-US

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input checked="" type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Transmittal of Formal Drawings (10 sheets);</b> <b>Certificate of Express Mailing (1 page);</b> <b>Postcard.</b>
---	--	--

Remarks

**RECEIVED**  
**DEC 28 2001**  
**Technology Center 2100**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	A. Jose Cortina, Reg. No. 29,722
<b>Signature</b>	
<b>Date</b>	December 12, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

<b>Typed or printed name</b>			
<b>Signature</b>		<b>Date</b>	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



12-17-01

2165

Attorney Docket No. CITI0109

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Express Mail mailing label number: EL923248815US

Applicant: Alan Slater, et al

Title: System and Method for Image Depositing, Image Presentment and Deposit Taking in a Commercial Environment

Date of Deposit: December 12, 2001

Type of Document(s): Certificate of Express Mailing (1 page);  
Transmittal Form (1 page);  
Fee Transmittal Form (1 page);  
Amendment (20 pages);  
Transmittal of Formal Drawings (1 page);  
Drawings (10 sheets);  
Return postcard.

Serial No.: 09/348,529

Filing Date: 07/07/1999

RECEIVED  
DEC 28 2001  
Technology Center 2100

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service to an alternative address established by the USPTO, resulting from an interruption of USPS postal service within 35 USC 21(a) and 37 CFR 1.6(e), and under 37 CFR 1.10 on December 12, 2001, and is addressed to the established alternative address of Assistant Commissioner for Patents, Box Non-Fee Amendment, Post Office Box 2327, Arlington, Virginia 22202.

Angela L. Grant  
Signature

Angela L. Grant  
Printed Name

FEE TRANSMITTAL for FY 2002		Complete If Known	
 Patent fees are subject to annual revision.		Application Number	09/348,529
		Filing Date	07/07/1999
		First Named Inventor	Alan Slater
		Examiner Name	Felten, D.
		Group / Art Unit	2164
TOTAL AMOUNT OF PAYMENT (\$)		0	Attorney Docket No. CITI0109-US

**RECEIVED**  
DEC 28 2001  
Technology Center 2100

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 501458</p> <p>Deposit Account Name: Kilpatrick Stockton LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>3. ADDITIONAL FEES</p> <table border="1"><thead><tr><th>Fee Code</th><th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr><tr><td>127</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr><tr><td>147</td><td>2,520</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>112</td><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113</td><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr><tr><td>116</td><td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr><tr><td>117</td><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118</td><td>1,440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128</td><td>1,960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119</td><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr><tr><td>120</td><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121</td><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr><tr><td>138</td><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141</td><td>1,280</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,280</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>460</td><td>230</td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>620</td><td>310</td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr><tr><td>126</td><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581</td><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146</td><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>740</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr></tbody></table> <p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid</p>		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	65	Surcharge - late filing fee or oath		127	50	25	Surcharge - late provisional filing fee or cover sheet		139	130	130	Non-English specification		147	2,520	2,520	For filing a request for reexamination		112	920*	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	1,840*	Requesting publication of SIR after Examiner action		115	110	55	Extension for reply within first month		116	400	200	Extension for reply within second month		117	920	460	Extension for reply within third month		118	1,440	720	Extension for reply within fourth month		128	1,960	980	Extension for reply within fifth month		119	320	160	Notice of Appeal		120	320	160	Filing a brief in support of an appeal		121	280	140	Request for oral hearing		138	1,510	1,510	Petition to institute a public use proceeding		140	110	55	Petition to revive - unavoidable		141	1,280	640	Petition to revive - unintentional		142	1,280	640	Utility issue fee (or reissue)		143	460	230	Design issue fee		144	620	310	Plant issue fee		122	130	130	Petitions to the Commissioner		123	50	50	Processing fee under 37 CFR 1.17 (q)		126	180	180	Submission of Information Disclosure Stmt		581	40	40	Recording each patent assignment per property (times number of properties)		146	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	370	Request for Continued Examination (RCE)		169	900	900	Request for expedited examination of a design application	
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																
105	130	65	Surcharge - late filing fee or oath																																																																																																																																																	
127	50	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																	
139	130	130	Non-English specification																																																																																																																																																	
147	2,520	2,520	For filing a request for reexamination																																																																																																																																																	
112	920*	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																	
113	1,840*	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																	
115	110	55	Extension for reply within first month																																																																																																																																																	
116	400	200	Extension for reply within second month																																																																																																																																																	
117	920	460	Extension for reply within third month																																																																																																																																																	
118	1,440	720	Extension for reply within fourth month																																																																																																																																																	
128	1,960	980	Extension for reply within fifth month																																																																																																																																																	
119	320	160	Notice of Appeal																																																																																																																																																	
120	320	160	Filing a brief in support of an appeal																																																																																																																																																	
121	280	140	Request for oral hearing																																																																																																																																																	
138	1,510	1,510	Petition to institute a public use proceeding																																																																																																																																																	
140	110	55	Petition to revive - unavoidable																																																																																																																																																	
141	1,280	640	Petition to revive - unintentional																																																																																																																																																	
142	1,280	640	Utility issue fee (or reissue)																																																																																																																																																	
143	460	230	Design issue fee																																																																																																																																																	
144	620	310	Plant issue fee																																																																																																																																																	
122	130	130	Petitions to the Commissioner																																																																																																																																																	
123	50	50	Processing fee under 37 CFR 1.17 (q)																																																																																																																																																	
126	180	180	Submission of Information Disclosure Stmt																																																																																																																																																	
581	40	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																	
146	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																	
149	740	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																	
179	740	370	Request for Continued Examination (RCE)																																																																																																																																																	
169	900	900	Request for expedited examination of a design application																																																																																																																																																	
<p>1. BASIC FILING FEE</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Small Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101</td><td>201</td><td>Utility filing fee</td><td></td></tr><tr><td>106</td><td>206</td><td>Design filing fee</td><td></td></tr><tr><td>107</td><td>207</td><td>Plant filing fee</td><td></td></tr><tr><td>108</td><td>208</td><td>Reissue filing fee</td><td></td></tr><tr><td>114</td><td>214</td><td>Provisional filing fee</td><td></td></tr></tbody></table> <p>SUBTOTAL (1) (\$)</p> <p>2. EXTRA CLAIM FEES</p> <table border="1"><thead><tr><th>Large Fee Code</th><th>Small Fee Code</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103</td><td>203</td><td>Claims in excess of 20</td><td></td></tr><tr><td>102</td><td>202</td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104</td><td>204</td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109</td><td>209</td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110</td><td>210</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr></tbody></table> <p>SUBTOTAL (2) (\$)</p> <p>**or number previously paid, if greater; For Reissues, see above</p>		Large Fee Code	Small Fee Code	Fee Description	Fee Paid	101	201	Utility filing fee		106	206	Design filing fee		107	207	Plant filing fee		108	208	Reissue filing fee		114	214	Provisional filing fee		Large Fee Code	Small Fee Code	Fee Description	Fee Paid	103	203	Claims in excess of 20		102	202	Independent claims in excess of 3		104	204	Multiple dependent claim, if not paid		109	209	** Reissue independent claims over original patent		110	210	** Reissue claims in excess of 20 and over original patent		<p>SUBTOTAL (3) (\$)</p>																																																																																																		
Large Fee Code	Small Fee Code	Fee Description	Fee Paid																																																																																																																																																	
101	201	Utility filing fee																																																																																																																																																		
106	206	Design filing fee																																																																																																																																																		
107	207	Plant filing fee																																																																																																																																																		
108	208	Reissue filing fee																																																																																																																																																		
114	214	Provisional filing fee																																																																																																																																																		
Large Fee Code	Small Fee Code	Fee Description	Fee Paid																																																																																																																																																	
103	203	Claims in excess of 20																																																																																																																																																		
102	202	Independent claims in excess of 3																																																																																																																																																		
104	204	Multiple dependent claim, if not paid																																																																																																																																																		
109	209	** Reissue independent claims over original patent																																																																																																																																																		
110	210	** Reissue claims in excess of 20 and over original patent																																																																																																																																																		

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	A. Jose Cortina	Registration No. Attorney/Agent	29,733
Signature		Telephone	919-420-1820
		Date	December 12, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Docket No. CITI0109-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Alan SLATER, et al

Art Unit: 2164

Serial No.: 09/348,529

Examiner: Felten, D.

Filed: July 7, 1999

For: System and Method for Image Depositing, Image Presentment  
And Deposit Taking in a Commercial Environment

Assistant Commissioner for Patents  
Box NON-FEE AMENDMENT  
Post Office Box 2327  
Arlington, Virginia 22202

**TRANSMITTAL OF FORMAL DRAWINGS**

Sir:

Enclosed are ten (10) sheets of formal drawings to replace the originally-filed drawings and correcting the deficiencies noted by the draftsman.

Respectfully submitted,

A. José Cortina  
Registration No. 29,733  
One of the Attorneys for Applicant  
Kilpatrick Stockton LLP  
3737 Glenwood Avenue, Suite 400  
Raleigh, North Carolina 27612  
(919) 420-1820 telephone  
(919) 420-1800 telecopier

Dated: December 12, 2001

RALLIB01:629231.1

#9  
Q. Cortina  
1-2-02